

04/12/01  
U.S. PRO

UTILITY PATENT APPLICATION  
UNDER 37 CFR 1.53(b)

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HONORABLE COMMISSIONER OF  
PATENTS AND TRADEMARKS  
Washington D.C. 20231

Case Docket No. 114205-1701

Sir:

Transmitted herewith for filing is the patent application of:

INVENTOR(s): HUA, Yu

FOR: REGULATION OF SYSTEMIC IMMUNE RESPONSES UTILIZING SOLUBLE CD40

Enclosed are:

[ 37] Pages of specification, claims, abstract  
[ ] Declaration & Power of Attorney  
[ X ] Priority Claimed from US Provisional Application No. 60/196,489 filed April 12, 2000.  
[ ] Certified copy of \_\_\_\_\_  
[ X ] 12 sheets of drawings  
[ ] An assignment of the invention to \_\_\_\_\_ and the assignment recordation fee  
[ X ] Return Receipt Postcard  
[ ] Information Disclosure Statement, Form PTO-1449  
[ ] Copies of IDS References  
[ X ] Other: Applicant asserts that they are entitled to small entity status.

The filing fee has been calculated as shown below:

(1) FOR	(2) NO. FILED	(3) NO. EXTRA	(4) RATE	(5) AMOUNT
<b>TOTAL CLAIMS</b>	15	-20	0	\$18.00 = \$ 0.00
<b>INDEPENDENT CLAIMS</b>	6	-3	3	x \$80.00 = \$240.00
<b>MULTIPLE DEPENDENT CLAIM(S) (If applicable)</b>			+ \$270.00 =	0.00
			<b>BASIC FEE</b>	\$710.00 \$710.00
			Total of above calculations	= \$ 475.00
[ ] Assignment & Recording Fee				\$ <u>0.00</u>
			<b>TOTAL FEE (1/2 small ent.)</b>	<b>\$ 475.00</b>

The PTO did not receive the following  
listed item(s) Specification only  
25 pages

[ X ] Please charge my Deposit Account No. 50-0436 in the amount of \$475.00. A duplicate copy of this sheet is enclosed.

[X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0436. A duplicate copy is enclosed.

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[ ] Any patent application processing fees under 37 CFR 1.17.

[ ] Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

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